

3663/1fw



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Flory et al.

Group Art Unit: Unknown

U. S. Serial No.: 10/538,425

Examiner:

Filed: 06/10/2005

For: Endotracheal Tube Holder

INFORMATION DISCLOSURE STATEMENT

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313**

Sir:

Attached Form PTO/SB/08A lists references which may be relevant to the examination of the above-identified application. Applicant reserves the right to antedate any reference cited herein if necessary.

Entry of these references into the record is respectfully requested.

Respectfully submitted

By: 

Randall T. Erickson, Reg. No. 33,872

The Law Office of Randall T. Erickson, P.C.
1749 S. Naperville Rd., Suite 202
Wheaton, IL 60187
(630) 665-9404
Attorney Docket No.: 6304P0021US

CERTIFICATE OF TRANSMISSION/ MAILING

I hereby certify that this correspondence and all referenced documents are being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on May 21, 2007.

By: 

Randall T. Erickson



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/538,425
Filing Date	06/10/2005
First Named Inventor	Flory et al.
Art Unit	Unknown
Examiner Name	
Attorney Docket Number	6304P0021US

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite NO.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code 2 (fM--)			
		US- 3,602,227	08-31-1971	Andrew	
		US- 5,513,633	07-05-1996	Islava	
		US- 3,946,742	03-30-1976	Eross	
		US- 5,009,227	04-23-1991	Nieuwstad	
		US- 5,551,421	09-03-1996	Noureldin et al.	
		US- 6,067,985	05-30-2000	Islava	
		US- 5,829,430	11-03-1998	Islava	
		US- 5,894,840	04-20-1999	King	
		US- 6,050,263	04-18-2000	Choksi et al.	
		US- 5,996,581	12-07-1999	Duch	
		US- 5,653,232	08-05-1997	Rogers et al.	
		US- 5,490,504	02-13-1996	Vrona et al.	
		US- 5,419,319	05-30-1995	Werner	
		US- 5,437,273	08-01-1995	Bates et al.	
		US- 5,345,931	09-13-1994	Battaglia Jr.	
		US- 5,320,097	06-14-1994	Clemens et al.	
		US- 5,237,988	08-24-1993	McNeese	
		US- 5,105,807	04-21-1992	Kahn et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite NO.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
		Country Code 3-Number 4-Kind Code5 (Yknown)				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. †Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 1 6 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/538,425
		Filing Date	06/10/2005
		First Named Inventor	Flory et al.
		Art Unit	Unknown
		Examiner Name	
Sheet 2	of 3	Attorney Docket Number	6304P0021US

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite NO. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code 2 (fM--)			
		US- 5,167,630	12-01-1992	Paul	
		US- 4,986,815	01-22-1991	Schneider	
		US- 5,009,227	04-23-1991	Nieuwstad	
		US- 5,069,206	12-03-1991	Crosbie	
		US- 4,906,234	03-06-1990	Voychegovski	
		US- 4,832,019	05-23-1989	Weinstein et al.	
		US- 4,744,358	05-17-1988	McGinnis	
		US- 4,774,944	10-04-1988	Mischinski	
		US- 4,683,882	08-04-1987	Laird	
		US- 4,699,616	10-13-1987	Nowak et al.	
		US- 4,986,815	01-22-1991	Schneider	
		US- 5,076,269	12-31-1991	Austin	
		US- 5,224,935	06-06-1993	Hollands	
		US- 5,073,170	12-17-1991	Schneider	
		US- 4,874,380	10-17-1989	Hesketh	
		US- 4,932,943	06-12-1990	Nowak	
		US- 4,516,293	05-14-1985	Beran	
		US- Des. 434,496	11-28-2000	Choksi et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite NO. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code 3-Number 4-Kind Code5 (Yknown)			

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to 3 applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ¹⁶ if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEN6
TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/538,425
		Filing Date	06/10/2005
		First Named Inventor	Flory et al.
		Art Unit	Unknown
		Examiner Name	
Sheet 3 of 3	Attorney Docket Number	6304P0021US	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite NO. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code 2 (fM--)			
		US- Des. 395,505	06-23-1998	Noonan et al.	
		US- 4,449,527	05-22-1984	Hinton	
		US- 4,392,857	07-12-1983	Beran	
		US- 4,326,515	04-27-1982	Shaffer et al.	
		US- 4,351,331	09-28-1982	Gereg	
		US- 4,360,025	11-23-1982	Edwards	
		US- 4,249,529	02-10-1981	Nestor et al.	
		US- 4,270,529	06-02-1981	Muto	
		US- 4,331,144	05-25-1982	Wapner	
		US- 5,551,421	09-03-1996	Noureldin et al.	
		US- 4,114,626	09-19-1978	Beran	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite NO.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
		Country Code 3 -Number 4—Kind Code5 (Yknown)				

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to 3 applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ¹ 6 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEN6
TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.